



apricity

Naturally compliant

Going it Alone

Hands-on support for those embarking
on the directly authorised journey.

Are you thinking of going directly authorised for the first time?

Maybe you've previously been part of a network, or an appointed representative. Or maybe you're just ready to get stuck into advising and want to have full control from the outset.

We've created this pack to help advisers who are going it alone for the first time.

It covers advice, support, guidance, tools and practical help, to ensure you have everything you need to make your important decisions and get up and running as efficiently as possible.

Apply for Authorisation

- Review your business plan and cashflow (we also provide templates)
- Review and check all documentation
- Submit to the FCA and help deal with any queries from them
- Follow the process through until authorisation completes

Build your Business Model

- Information on limited company vs LLP vs sole trader
- Guidance on how to apply
- Help creating your marketing strategy
- One year's membership to NextGen Planners
- Creation of your Training & Competency Framework

Create your Client Proposition

- Support with selecting your research tools and back office systems
- Creation of your initial disclosure documents
- Help creating and defining your client segments

Support package

£1,750 + VAT (plus FCA application fee)

Optional additional support

Creation of your platform due diligence and centralised investment proposition, to reduce your research burden, and align your processes to your client segments.

£500 + VAT per document (usual price is £950 + VAT per document)

Going it alone doesn't have to be scary;
not when you have no nonsense,
compliance support alongside you.

Get in touch. We'd love to talk.

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